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Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

Examiner Signature	<i>Alan J. ...</i>	Date Considered	3/3/05
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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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ADD		HARRISON, P. et al., The Carrier Dynamics of Far-Infrared Intersubband Lasers and Tunable Emitters, Institute of Microwaves and Photonics, University of Leeds, U.K., pp. 1-64 (Date Unknown)	-
ADD		WEBER, et al., 10 X2 Electron Transfer Times in Type-II GaAs/AlAs Superlattices Due to Emission of Confined and Interface Phonons, Superlattices and Microstructures, Vol. 23, No. 2 (1998).	-
ADD		FANN, W.S. et al., Electron Thermalization in Gold, Physical Review B, Brief Reports, Vol. 46, No. 20, (1992)	-
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ADD		LEWIS et al., Vibrational Dynamics of Molecular Overlayers on Metal Surfaces, Dept. of Chemistry, University of Pennsylvania, <a href="http://lorax.chem.upenn.edu/moltsurf/cucotalk/html">http://lorax.chem.upenn.edu/moltsurf/cucotalk/html</a> . (Date Unknown)	-
ADD		RETTNER et al., Dynamics of the Chemisorption of O <sub>2</sub> on Pt(111): Dissociation via Direct Population of a Molecularly Chemisorbed Precursor at High Incidence Kinetic Energy, The Journal of Chemical Physics, Vol. 94, Issue 2 (1991)	-
ADD		FRIEDMAN et al., SiGe/Si THz Laser Based on Transitions Between Inverted Mass Light-Hole and Heavy Hole Standards, Applied Physics Letters, Vol. 78, No. 4 (2001)	-
ADD		HARRISON et al., Population Inversion and Gain Estimates for a Semiconductor TASER (Date Unknown)	-
ADD		HARRISON et al., Theoretical Studies of Subband Carrier Lifetimes in an Optically Pumped Three-Level-Terahertz Laser, Superlattices and Microstructures, Vol. 23, No. 2 (1998)	-
ADD		HARRISON et al., Room Temperature Population Inversion in SiGe TASER Designs, IMP, School of Electronic and Electrical Engineering, The University of Leeds (Date Unknown)	-
ADD		SUN et al., Phonon-Pumped Terahertz Gain in n-Type GaAs/AlGaAs Superlattices, Applied Physics Letters, Vol. 77, No. 22 (2001)	-

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ADD		ALTUKHOV et al., Towards Si1-xGe Quantum-Well Resonant-State Terahertz Laser, Applied Physics Letters, Vol. 79, No. 24 (2001)	-
ADD		SUN et al., Intersubband Lasing Lifetimes of SiGe/Si and GaAs/AlGaAs Multiple Quantum Well Structures, Applied Physics Letters, Vol. 66, No. 25 (1995)	-
ADD		SUN et al., Phonon Pumped SiGe/Si Interminiband Terahertz Laser (Date Unknown)	-
ADD		SOREF et al., Terahertz Gain in a SiGe/Si Quantum Staircase Utilizing the Heavy-Hole Inverted Effective Mass, Applied Physics Letters, Vol. 79, No. 22 (2001)	-
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ADD		BADESCU et al., Energetics and Vibrational States for Hydrogen on Pt(111), Physical Review Letters, Vol. 88, No. 13 (2002)	-
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ADD		BEDURFTIG et al., Vibrational and Structural Properties of OH Adsorbed on Pt(111), Journal of Chemical Physics, Vol. 111, No. 24 (1999)	-

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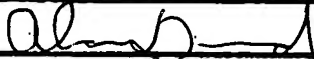
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AD		BONDZIE et al., Oxygen Adsorption on Well-Defined Gold Particles on TiO <sub>2</sub> (110), J. Vac. Sci. Technol. A17(4) (1999)	-
AD		BEZANT et al., Intersubband Relaxation Lifetimes in p-GaAs/AlGaAs Quantum Wells Below the LO-Phonon Energy Measured in a Free Electron Laser Experiment, Semicond. Sci. Technol. 14 (1999)	-
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AD		BURGI et al., Confinement of Surface State Electrons in Fabry-Perot Resonators, Physical Review Letters, Vol. 81, No. 24 (1998)	-
AD		BURGI et al., Probing Hot-Electron Dynamics at Surfaces with a Cold Scanning Tunneling Microscope, Physical Review Letters, Vol. 82, No. 22 (1999)	-
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AD		CHANG et al., Observation of Coherent Surface Optical Phonon Oscillations by Time-Resolved Surface Second-Harmonic Generation, Physical Review Letters, Vol. 78, No. 24 (1997)	-
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AD		CHEN et al., Stimulate-Emission-Induced Enhancement of the Decay Rate of Longitudinal Optical Phonons in III-V Semiconductors; Applied Physics Letters, Vol. 80, No. 16 (2002)	-

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AD		FIERZ et al., Time-Resolved 2-Photon Photoionization on Metallic Nanoparticles, Appl. Phys. B 68 (1999); <a href="http://www.lip.physik.uni-essen.de/aeschlimann/abstract.htm#6">http://www.lip.physik.uni-essen.de/aeschlimann/abstract.htm#6</a>	-
AD		BEZANT et al., Intersubband Relaxation Lifetimes in p-GaAs/AlGaAs Quantum Wells Below the LO-Phonon Energy Measured in a Free Electron Laser Experiment, Semicond. Sci. Technol., 14 No. 8 (1999)	-
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AD		DAVIS et al., Kinetics and Dynamics of the Dissociative Chemisorption of Oxygen on Ir(111), J. Chem. Phys. 109 (3) (1997)	-

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AD		CHOI et al., Ultrafast Carrier Dynamics in a Highly Excited GaN Epilayer, Physical Review B, Vol. 63, 115315 (2001)	-
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AD		de PAULA et al., Carrier Capture Processes in Semiconductor Superlattices due to Emission of confined Phonons, J. Appl. Phys. 77 (12) (1995)	-

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Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

Sheet 1 of 1**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

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ADD		GLAVIN et al., Acoustic Phonon Generation in A Superlattice Under the Hopping Perpendicular Transport, United Nations Educational Scientific and Cultural Organization and International Atomic Energy Agency (1998)	-
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ADD		HESS et al., Hot Carrier Relaxation by Extreme Electron-LO Phonon Scattering in GaN (Date Unknown).	-
ADD		HOHLFELD et al., Electron and Lattice Dynamics Following Optical Excitation of Metals, Chemical Physics 251, pp. 237-258 (2000)	-
ADD		HUANG et al., Vibrational Promotion of Electron Transfer, Science, Vol. 290 (2000)	-
ADD		KAWAKAMI et al., Quantum-well States in Copper Thin Films, Nature, Vol. 398 (1999)	-
ADD		KOHLER et al., Enhanced Electron-Phonon Coupling at the Mo and W (110) Surfaces Induced by Adsorbed Hydrogen, mtrl-th/9510004 (1995)	-
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ADD		LEWIS et al., Controlling Adsorbate Vibrational Lifetimes Using Superlattices, Physical Review B, Vol. 63, 085402 (2001)	-
ADD		KOMIRENKO, Sergiy M., Phonons and Phonon-Related Effects in Prospective Nanoscale Semiconductor Devices (2000)	-
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ADD		LEWIS et al, Substrate-Adsorbate Coupling in Co-Adsorbed Copper, Physical Review Letters, Vol. 77, No. 26 (1996)	-

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ADD		MULET et al., Nanoscale Radiative Heat Transfer Between a Small Particle and a Plane Surface, Applied Physics Letters, Vol. 78, No. 19 (2001)	-
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ADD		NIENHAUS et al., Selective H Atom Sensors Using Ultrathin Ag/Si Schottky Diodes, Applied Physics Letters, Vol. 74, No. 26 (1999)	-
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ADD		NOLAN et al., Direct Verification of a High-Translational-Energy Molecular Precursor to Oxygen Dissociation on Pd(111), Surface Science 419 (1998)	-
ADD		OGAWA et al., Optical Intersubband Transitions and Femtosecond Dynamics in Ag/Fe(100) Quantum Wells, Physical Review Letters, Vol. 88, No. 11 (2002)	-

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ADD		PAGGEL et al., Quantum-Well States as Fabry-Perot Modes in a Thin-Film Electron Interferometer, Science, Vol. 283 (1999)	-
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ADD		SUN et al., Phonon Pumped SiGe/Si Interminiband Terahertz Laser, pp. 1-11 (Date Unknown).	-
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ADD		QU et al., Long-Lived Phonons, Physical Review B, Vol. 48, No. 9 (1993)	-

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AD		SUN et al., Phonon-Pumped Terahertz Gain in n-Type GaAs/AlGaAs Superlattices, Applied Physics Letters, Vol. 78, No. 22 (2001)	-
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AD		WEBER et al., Carrier Capture Processes in GaAs-AlGaAs Quantum Wells Due to Emission of Confined Phonons, Appl. Phys. Lett. 63 (22) (1993)	-
AD		WINTERLIN et al., Atomic and Macroscopic Reaction Rates of a Surface-Catalyzed Reaction, Science, Vol. 278 (1997)	-
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AD	3	XU et al., Electrical Generation of Terahertz Electromagnetic Pulses by Hot-Electrons in Quantum Wells, Superlattices and Microstructures, Vol. 22, No. 1 (1997)	-
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ADD		HARRISON et al., Population-Inversion and Gain Estimates for a Semiconductor TASER, (Date Unknown).	-
ADD		SUN et al., Phonon Pumped SiGe/Si Interminiband Terahertz Laser (Date Unknown)	-
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STATEMENT BY APPLICANT**  
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Sheet 1 of 1

Complete If Known	
Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
AD		HYH et al., Methanol Oxidation of Palladium Compared to Rhodium at Ambient Pressures as Probed by Surface-Enhanced Raman and Mass Spectroscopies, <i>Journal of Catalysis</i> , Vol. 174 (2) (1998)
AD		GUMHALTER et al., Effect of Electronic Relaxation on Covalent Adsorption Reaction Rates, <i>Physical Review B</i> , Vol. 30, Issue 6 (1984)
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Examiner Signature	<i>Alan D. Diamond</i>	Date Considered	3/3/05
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Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>2</sup>	Document Number Number - Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
FD		US- 4,590,507	05-20-1986	CAPASSO, et al.	
FD		US- 4,686,550	08-11-1987	CAPASSO, et al.	
FD		US- 4,849,799	07-18-1989	CAPASSO, et al.	
FD		US- 5,311,009	05-10-1994	CAPASSO, et al.	
FD		US- 6,084,173	07-04-2000	DIMATTEO	
FD		US- 6,232,346	05-15-2001	DIMATTEO, et al.	
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Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

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ADD		AUERBACH, Daniel J.; "Hitting the Surface-Softly"; Science, 294, (2001), pp. 2483-2489	-
ADD		BONDZIE, V. A., et al.; "Oxygen adsorption ... gold particles ... TiO <sub>2</sub> (110)"; J. Vac. Sci. Tech. A., (1999) 17, pp. 1717 and figure 3	-
ADD		BOULTER, James; "Laboratory Measurement of OH ..."; <a href="http://pearl1.lanl.gov/wsa2002/WSA2002talks.pdf">http://pearl1.lanl.gov/wsa2002/WSA2002talks.pdf</a> (Date Unknown)	-
ADD		CHAN H.Y.H., et al.; "Methanol Oxidation On Palladium Compared To Rhodium..."; J. Catalysis v. 174(82) pp. 191-200 (1998) (abstract and figure 1 only)	-
ADD		CHIANG, T.-C.; "Photoemission studies of quantum well states in thin films; Surf. Sci. Rpts.39 (2000) pp 181-235	-
ADD		CHUBB, D. L., et al; "Semiconductor Silicon as a Selective Emitter"; <a href="http://www.thermopv.org/TPV5-2-05-Chubb.pdf">http://www.thermopv.org/TPV5-2-05-Chubb.pdf</a> (abstract only) (Date Unknown)	-
ADD		CORCELLI, S. A., et al.; "Vibrational energy pooling in CO on NaCl(100) ..."; J. Chem. Phys. (2002) 116, pp. 8079-8092	-
ADD		DANESE, A., et al; "Influence of the substrate electronic structure on metallic quantum well ..."; Prog. Surf. Sci., 67, (2001), pp 249-258	-
ADD		DAVIS, J. B., et al.; "Kinetics and dynamics of the dissociative chemisorption of oxygen on Ir(111)"; J. Chem. Phys. 107 (3), (1997), pp 943-952	-

Examiner Signature	<i>Alan D. Diamond</i>	Date Considered	3/3/05
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Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	122122A78-10

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ADD		DIEKHONER, L., et al.; "Parallel pathways in methanol... Pt(111)"; Surf. Sci. 409 (1998) pp 384-391	-
ADD		DIESING, D., et al.; "Aluminum oxide tunnel junctions..."; Thin Solid Films, Vol. 342 (1-2) (1999) pp. 282-290	-
ADD		DIMATTEO, R. S., et al.; "Enhanced photogeneration of carriers... vacuum gap"; Appl. Phys. Lett. (2001) 79, pp. 1894-1896	-
ADD		DIMATTEO, R. S., et al.; "Introduction to and Experimental Demonstration of Micron-gap ThermoPhotoVoltaics"; <a href="http://www.thermopv.org/37DIMatteo.html">http://www.thermopv.org/37DIMatteo.html</a> (abstract only) (Date Unknown)	-
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ADD		FANN, W.S., et al.; "Electron thermalization in gold"; Phys. Rev. B (1992) 46 pp. 13592-13595	-

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Sheet 1 of 1

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Application Number	10/052.004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney/Agent Number	22122828-JQ

**01. RE PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

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ADD		GBR, Adam T., et al.; "The dynamics of O <sub>2</sub> adsorption on Pt(533)..."; J. Chem. Phys. (2000) 113, pp. 10333-10343	-
ADD		GERGEN, Brian, et al.; "Chemically Induced Electronic Excitations at Metal Surfaces"; Science, 294, (2001) pp. 2521-2523	-
ADD		GULIANTS, Elena A., et al.; "A 0.5- $\mu$ m-thick polycrystalline silicon Schottky..."; Appl. Phys. Lett., (2002), 80, pp. 1474-1476	-
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ADD		HALONEN, Lauri, et al.; "Reactivity of vibrationally excited methane on nickel..."; J. Chem. Phys. (2001) 115, pp. 5611-5619	-
ADD		HASEGAWA, Y., et al.; "Modification of electron ... standing wave ... Pd ..."; Surf. Sci., in press, 11 April 2002	-
ADD		HENRY, Claude R.; "Catalytic activity ... nanometer-sized metal clusters"; Applied Surf. Sci., 164, (2000) pp 252-259	-
ADD		HBSS, S., et al.; "Hot Carrier Relaxation ... Phonon Scattering in GaN"; <a href="http://www.physics.ox.ac.uk/rtaylor/images/hot%20carrier%20poster.pdf">http://www.physics.ox.ac.uk/rtaylor/images/hot%20carrier%20poster.pdf</a> (Date Unknown).	-
ADD		HO, Wilson; <a href="http://www.lasp.cornell.edu/lasp_data/wilsonho.html">http://www.lasp.cornell.edu/lasp_data/wilsonho.html</a> (Date Unknown).	-

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number	10/052,004
		Filing Date	1/17/2002
		First Named Inventor	Anthony C. Zuppero
		Art Unit	1753
		Examiner Name	Alan D. Diamond
Sheet	of	Attorney Docket Number	22122878-10

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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AD		HOHLFELD, J, et al.; "Electron and lattice dynamics ... optical excitation of metals"; Chemical Physics, 251 (2000) pp 237-258	-
AD		HONKALA, Karolina, et al.; "Ab initio study of O2 precursor states on the Pd(111)..."; J. Chem. Phys. (2001) 115, pp. 2297-2302	-
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AD		IFTIMIA, Ileana, et al.; "Theory ... scattering of molecules from surface"; Phys. Rev. B (2002) 65, Article 123401	-

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AD		ISHIKAWA, Yasuyuki, et al.; "Energetics of H <sub>2</sub> O dissociation and COads+OHads reaction .. Pt."; Surf. Sci. preprints SUSC 12830, 27 April 2002	—
AD		JOHNSON, R. Colin; "Molecular substitution ...terahertz switch arrays"; EE Times, (04/10/00, 3:35 p.m. EST) <a href="http://www.eet.com/story/OBG20000410S0057">http://www.eet.com/story/OBG20000410S0057</a> , 4/10/2000.	—
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AD		LI, Shenping, et al.; "Generation of wavelength-tunable single-mode picosecond pulses ..."; Appl. Phys. Lett. 76, (2000) pp 3676 - 3678	—

Examiner Signature	<i>Alan D. Diamond</i>	Date Considered	3/3/05
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Application Number	10/052,004
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First Named Inventor	Anthony C. Zuppero
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Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

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AD		MITSUMI, T., et al.; "Coadsorption and interactions of O and H on Pd(111)"; Surf. Sci., Article 12767, (2002)	-
AD		MOULA, Md. Golam, et al.; "Velocity distribution of desorbing CO <sub>2</sub> in CO oxidation on Pd(110)."; Applied Surf. Sci., 169-170, pp 268-272 (2001)	-
AD		MULET, Jean-Philippe, et al.; "Nanoscale radiative heat transfer between a small particle ..."; Appl. Phys. Lett., 78, (2001) p 2931	-
AD		NIENHAUS, H., et al.; "Direct detection of electron-hole pairs generated by chemical reactions on metal surfaces"; Surf. Sci. 445 (2000) pp 335- 342	✓
AD		NIENHAUS, H.; "Electronic excitations by chemical reactions on metal surfaces"; Surf. Sci. Rpts. 45 (2002) pp 1 - 78	✓
AD		NIENHAUS, H., et al.; "Selective H atom sensors using ultrathin Ag/Si Schottky diodes"; Appl. Phys. Lett. (1999) 74, pp. 4046-4048	✓
AD		NIENHAUS, Hermann; "Electron-hole pair creation by reactions at metal surfaces"; APS, March 20-26, 1999, Atlanta, GA, Session SC33 (SC33.01)	✓
AD		NIENHAUS, H., et al.; "Electron-Hole Pair Creation at Ag and Cu ... of Atomic Hydrogen and Deuterium"; Phys. Rev. Lett., 82, (1999) pp. 446-449	✓
AD		NOLAN P. D., et al.; "Direct verification of... precursor to oxygen dissociation on Pd(111)"; Surf. Sci. v. 419(#1) pp. L107-L113, (1998)	✓

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Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

Sheet 1 of 1**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

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AD	1	NOLAN, P. D., et al.; "Molecularly chemisorbed intermediates to oxygen adsorption on Pt..."; J. Chem. Phys. 111, (1999), pp 3696 - 3704	—
AD	2	NOLAN, P. D., et al.; "Translational ... Precursors to Oxygen Adsorption on Pt(111)"; Phys. Rev. Lett., 81, (1998) pp 3179 - 3182	—
AD	3	OGAWA, S., et al.; "Optical ... and Femtosecond Dynamics in Ag/Fe(100) Quantum Wells"; Phys. Rev. Lett. 88, 116801 (2002)	—
AD	4	PAGGEL, J. J., et al.; "Quantum-Well States as Fabry-Pérot Modes in a ..."; Science, 283, (1999), pp 1709 - 1711	—
AD	5	PAGGEL, J. J., et al.; "Quasiparticle Lifetime ... Ag/Fe(100) Quantum Wells"; Phys. Rev. Lett. (1998) 81, pp. 5632-5635	—
AD	6	PAGGEL, J.J., et al.; "Quantum well photoemission from atomically uniform Ag films ..."; Applied Surf. Sci., 162 -163, (2000), pp 78 -85	—
AD	7	RETTNER, C. T., et al; "Dynamics ... chemisorption of O2 on Pt(111)... chemisorbed precursor..."; J. Chem. Phys. (1991) 94, pp. 1626-1635 (abstract only)	—
AD	8	RINNEMO, Mats; "Catalytic Ignition and Kinetic Phase Transitions"; 1996; <a href="http://www2.lib.chalmers.se/eth/diss/doc/9596/RinnemoMats.html">http://www2.lib.chalmers.se/eth/diss/doc/9596/RinnemoMats.html</a>	—
AD	9	ROBERTSON, A. J. B.; "Catalysis of Gas Reactions by Metals"; Logos Press Limited; 1970; LC # 70-80936; pp. 1-5, 10, 41; Great Britain, Adlard & son Ltd	—

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AD		SCHBWE, P., et al.; "CO2 Production at the Single-Molecule Level"; <a href="http://www.aip.org/cnews/physnews/2001/spl11/561-1.html">http://www.aip.org/cnews/physnews/2001/spl11/561-1.html</a> (2001).	
AD		SHENG, H., et al.; "Schottky diode with Ag on (110) epitaxial ZnO film"; Appl. Phys. Let. (2002) 80, pp. 2132-2134	
AD		SMIT, G. D. J., et al.; "Enhanced tunneling across nanometer-scale metal-semiconductor interfaces"; Appl. Phys. Let. (2002) 80, pp. 2568-2570	
AD		SNOW, E. S., et al.; "Ultrathin PtSi layers patterned by scanned probe lithography"; Appl. Phys. Let. (2001) 79, pp. 1109-1111	
AD		STIPE, B. C., et al.; "Atomistic studies of O2 dissociation on Pt(111) induced by photons ..."; J. Chem. Phys., (1997) 107 pp. 6443-6447	
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AD		SVENSSON, K., et al.; "Dipole Active Vibrational Motion in the Physisorption Well"; Phys. Rev. Lett., 78, (1997) pp 2016-2019	
AD		TARVER, Craig M.; "Non-Equilibrium Chemical Kinetic ... Explosive Reactive Flows"; Fall 1999 IMA Workshop: High-Speed Combustion in Gaseous and Condensed-Phase, 1999	
AD		TAYLOR, R.A., et al.; "Strong Electron-LO Phonon Scattering and Hot Carrier Relaxation in GaN"; <a href="http://www.physics.ox.ac.uk/taylor/images/ha249kw3.pdf">http://www.physics.ox.ac.uk/taylor/images/ha249kw3.pdf</a> (Date Unknown).	

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		First Named Inventor	Anthony C. Zuppero
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AD		TEODORESCU, C.M., et al.; "Structure of Fe layers grown on InAs ..."; Appl. Surf. Sci., 166, (2000) pp 137-142	-
AD		TIUSAN, C., et al.; "Quantum coherent transport versus diode-like effect in ..."; Appl. Phys. Lett. 79, (2001) pp 4231-4233	-
AD		TRIPA, C. Emil, et al.; "Surface-aligned photochemistry: Aiming reactive oxygen atoms..."; J. Chem. Phys., (2000) 112 pp. 2463-2469	-
AD		TRIPA, C. Emil, et al.; "Surface-aligned reaction of photogenerated oxygen atoms with ..."; Nature 398, pp 591 - 593 (1999)	-
AD		TRIPA, C. Emil; "Special Adsorption and Reaction Effects at Step Defect Sites on Platinum ..."; <a href="http://www.chem.pitt.edu/thesis.html#tripa">http://www.chem.pitt.edu/thesis.html#tripa</a> (abstract only) (Data Unknown)	-
AD		VOLKENING, S., et al.; "CO oxidation on Pt(111)--Scanning tunneling microscopy experiments ..."; J. Chem. Phys. (2001) 114, pp. 6382-6395	-
AD		WATSON, D.T.P., et al.; "Isothermal and temperature-programmed oxidation of CH over Pt..."; Surf. Sci. preprint, year 2001	-
AD		WATSON, D.T.P., et al.; "Surface products of the dissociative adsorption of methane on Pt ..."; Surf. Sci. preprint, c. October 2001	-

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number	10/052,004
		Filing Date	1/17/2002
		First Named Inventor	Anthony C. Zuppero
		Art Unit	1753
		Examiner Name	Alan D. Diamond
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AD		WILKE, Steffen, et al.; "Theoretical investigation of water formation on Rh and Pt Surfaces"; J. Chem. Phys., 112, (2000) PP 9986 - 9995	✓
AD		WINTERLIN, J. et al; "Atomic ...Reaction Rates ... Surface-Catalyzed ..."; Science, 278, (1997) pp. 1931 - 1934	✓
AD		WINTERLIN, J. R., et al.; "Existence of a "Hot" Atom Mechanism for the Dissociation of O <sub>2</sub> on Pt(111)"; Phys. Rev. Lett., 77, (1996), pp 123 - 126	✓
AD		ZAMBELLI, T., et al.; "Complex pathways in dissociative adsorption of oxygen on platinum"; Nature 390, pp 495 - 497 (1997)	✓
AD		ZHDANOV, V.P., et al.; "Substrate-mediated photoinduced chemical reactions on ultrathin metal films"; Surf. Sci., V. 432 (#3) pp L599-L603, (1999)	✓
AD	2	ZHDANOV, Vladimir P.; "Nm-sized metal particles on a semiconductor surface, Schottky ..."; Surf. Sci. PROOF SUSC 2931, 20 April 2002	✓
AD	2	ZHUKOV, V. P., et al.; "Lifetimes of quasiparticle excitations in 4d transition metals ..."; Phys. Rev. B (2002) 65, Article 115116	✓

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		Filing Date	1/17/2002
		First Named Inventor	Anthony C. Zuppero
		Art Unit	1753
		Examiner Name	Alan D. Diamond
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		Number-Kind Code <sup>2</sup> (if known)			
ADD		US-5932885	08-1999	DeBells et al.	
ADD		US-2001/0018923-A1	08-2001	Zuppero et al.	
ADD		US-2002/0121088-A1	09-2002	Zuppero et al.	

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		Filing Date	1/17/2002
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ADD		REE, J. et al., "Dynamics of Gas-Surface Interactions: Reaction of Atomic Oxygen with Chemisorbed Hydrogen on TUNGSTEN," Journal of Physical Chemistry, Vol. 101 (#25), pp. 4523 - 4534, June 19, 1997.	-
ADD		REE, J. et al., "Reaction of atomic oxygen with adsorbed carbon monoxide on a platinum surface," Journal of Chemical Physics, Vol. 104, Issue 2, pp. 742 - 757, January 8, 1996.	-
ADD		NOLAN, P.D. et al., "Molecularly chemisorbed intermediates to oxygen adsorption on Pt(111): A molecular beam and electron energy-loss spectroscopy study," Journal of Chemical Physics, Vol. 111, No. 8, pp. 3696 - 3704, August 22, 1999.	-
ADD		NOLAN, P. D. et al., "Translation Energy Selection of Molecular Precursors to Oxygen Adsorption on Pt (111)," Physical Review Letters, Vol. 81, No. 15, pp. 3179 - 3182, October 12, 1998.	-
ADD		MURPHY, M. J. et al., "Inverted vibrational distributions from N <sub>2</sub> recombination at Ru(001): Evidence for a metastable molecular chemisorption well," Journal of Chemical Physics, Vol. 110, No. 14, pp. 6954 - 6962, April 8, 1999.	-
ADD		KIM, M. S. et al., "Reaction of Gas-Phase Atomic Hydrogen with Chemisorbed Hydrogen Atoms on an Iron Surface," Bull. Korean Chem. Soc., Vol. 18, No. 9, pp. 985 - 994, May 22, 1997.	-
ADD		BONN, M. et al., "Phonon-Versus Electron-Mediated Desorption and Oxidation of CO on Ru(0001)," Science, Vol. 285, pp. 1042 - 1045, August 13, 1999. www.sciencemag.org	-

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ADD		NOLAN, P. D. et al., "Direct verification of a high-translational-energy molecular precursor to oxygen dissociation on Pd(111)," Surface Science Letters, Vol. 419, pp. L107 - L113, September 24, 1998.	-
ADD		DAVIS, J. E. et al., "Kinetics and dynamics of the dissociative chemisorption of oxygen on Ir(111)," Journal of Chem. Phys., Vol. 107(3), pp. 943 - 952, July 15, 1997.	-
ADD		TRIPA, C. Emil et al., "Surface-aligned reaction of photo-generated oxygen atoms with carbon monoxide targets," Nature, Vol. 398, pp. 591 - 593, April 15, 1999, www.nature.com.	-
ADD		SHIN HK, "Vibrationally excited OD Radicals from the Reaction of Oxygen-Atoms with Chemisorbed Deuterium on TUNGSTEN,"	-
ADD		Journals of Physical Chemistry, Vol. 102(#13), pp. 2372 - 2380, March 26, 1998.	-
ADD		TRIPA, C. Emil et al., "Kinetics measurements of CO photo-oxidation on Pt(111)," Journal of Chemical Physics, Vol. 105, Issue 4, pp. 1691 - 1696, July 22, 1996.	-

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (08-09)

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Substitute for form 1449-PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete If Known**

Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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Signature**

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Date	Considered
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11/30/80	11/30/80

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<sup>1</sup> Unique class or designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTC/SBMD8A (08-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>	<b>Complete If Known</b> Application Number 10/052,004 Filing Date 1/17/2002 First Named Inventor Anthony C. Zuppero Art Unit 1753 Examiner Name Alan D. Diamond Attorney Docket Number 22122878-10
Sheet	of

[illegible]

Examiner Signature	<i>Al S. [Signature]</i>	Date Considered	3/3/05
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PTO/SR-08A (08-01)

Approved for USA through 07/31/2005. OMB 0651-0001

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Substitute for form 1448/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete If Known**

Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

[illegible][illegible]

**Examiner  
Signature**

Alto

Date \_\_\_\_\_

**Considered**

 $3/3/05$ 

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PTO/SB/DBA (08-03)

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete If Known**

Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

Sheet of

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**Examiner  
Signature**

Albert —

Date Considered

3/3/05

\*EXAMINEE: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (08-03)

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Subtitle for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b> Application Number 10/052,004 Filing Date 1/17/2002 First Named Inventor Anthony C. Zupparo Art Unit 1753 Examiner Name Alan D. Diamond Attorney Docket Number 22122878-10	
Sheet		of	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	T2
FD		FRBSE, et al., "Analysis of Current/Voltage Curves at n-Si/SiO <sub>2</sub> /Pt Electrodes", J. Electrochem. Soc., December 1994, pp. 3375-3382, Vol. 141, No. 12, The Electrochemical Society, Inc.	-
FD		FRESE, et al., "Methanol Oxidation at p-Si/Pt Electrodes, Evidence for Hot Hole Reactivity", J. Phys. Chem., 1995, pp. 6074-6083, Vol. 99, American Chemical Society.	-
FD		GADZUK, "Multiple Electron Processes in Hot-Electron Femtochemistry at Surfaces", <a href="http://www.csl.nist.gov/div837/837.03/highlite/gadzuk1999.htm">http://www.csl.nist.gov/div837/837.03/highlite/gadzuk1999.htm</a> . (1999)	-
FD		FRESE, et al., "Hot Electron Reduction at Etched n-Si/Pt Thin Film Electrodes", J. Electrochem. Soc., September 1994, pp.2402-2409, Vol. 103, The Electrochemical Society Inc.	-
FD		GAILLARD, et al., "Hot Electron Generation in Aqueous Solution at Oxide-Covered Tantalum Electrodes, Reduction of Methylpyridinium and Electrogenated Chemiluminescence of Ru(bpy) <sub>3</sub> <sup>3+</sup> ", J. Phys. Chem., 1999, pp.667-674, Vol. 103, American Chemical Society.	-
FD		SUNO, et al., "Demonstration of Electrochemical Generation of Solution-Phase Hot Electrons at Oxide-Covered Tantalum Electrodes by Direct Electrogenated Chemiluminescence", J. Phys. Chem., 1998, pp. 9797-9805, Vol. 102, American Chemical Society.	-
FD		ZHDANOV, et al., "Substrate-mediated photoinduced chemical reactions on ultrathin metal films", Surface Science, 1999, pp. L599-L603, Vol. 432, Elsevier Science B.V.	-

Examiner Signature		Date Considered	3/3/05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\* Unique citation designation number. \* Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1442/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

of

Attorney Docket Number

### Complete If Known

Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

**U.S. PATENT DOCUMENTS**

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## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Albert

Date Considered

3/3/05

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1 Applicant's unique citation designation number (optional). 2 See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. 5 Kind of document by the English language Translation is attached.

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

Sheet ☐ of ☐**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
ADD		MAHAN, G. D. et al., "Multilayer thermionic refrigerator and generator," Journal of Applied Physics, Vol. 83, No. 9, 1 May 1998.	—
ADD		STIPE, B. C. et al., "Atomistic studies of O2 dissociation on Pt(111) induced by photons, electrons, and by heating," J. of Chem. Phys., Vol. 107 (16), October 22, 1997, pp. 6443 - 6447.	—
ADD		TRIPA, C. E. et al., "Surface-aligned reaction of photogenerated oxygen atoms with carbon monoxide targets," Nature, Vol. 398, 15 April 1999, pp. 591 - 593.	—

Examiner  
SignatureDate  
Considered

3/3/05

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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**


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**Complete if Known**

Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

Sheet 1 of 1**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.†	(Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T‡
AD		BONN, M. et al., "Phonon-Versus Electron-Mediated Desorption and Oxidation of CO on Ru(0001)," Science, Vol. 285, No. 5430, Issue of 13 August 1999, pp. 1042-1045.	-
AD		DAVIS, J. E. et al., "Kinetics and dynamics of the dissociative chemisorption of oxygen on Ir(111)," J. Chem. Phys., 107 No. 3, 15 July 1997, pp. 943-951.	-
AD		GADZUK, J. W., "Hot-electron femtochemistry at surfaces: on the role of multiple electron processes in desorption," Chemical Physics, Vol. 251, year 2000, pp. 87-97.	-
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AD		GE, N.-H. et al., "Femtosecond Dynamics of Electron Localization at Interfaces," Science, Vol. 279, No. 5348, Issue of 9 Jan 1998, pp. 202-205.	-
AD		GAO, Shiwei, "Quantum kinetic theory of vibrational heating and bond breaking by hot electrons," Physical Review B, Vol. 55, No. 3, 15 Jan 1997-I, pp. 1876-1886.	-
AD		HOU, H. et al., "Enhanced Reactivity of Highly Vibrationally Excited Molecules on Metal Surfaces," Science, Vol. 284, No. 5420, Issue of 4 Jun 1999, pp. 1647-1650.	-
AD		NIENHAUS, H. et al., "Direct detection of electron hole pairs generated by chemical reactions on metal surfaces," Surface Science 445 (2000) pp. 335-342.	-
AD		NIENHAUS, H. et al., "Selective H atom sensors using ultrathin Ag/Si Schottky diodes," Applied Physics Letters, June 28, 1999, Vol. 74, Issue 26, pp. 4046-4048.	-
AD		GAILLARD, Frederic et al., "Hot electron generation in aqueous solution at oxide-covered tantalum electrodes. Reduction of methylpyridinium and electrogenerated chemiluminescence of Ru(bpy)32+," Journal of Physical Chemistry B., Vol. 103, No. 4, January 28 1999, pp. 667-74.	-
AD		ENGSTROM, Ulrika and RYBERG, Roger, "Comparing the vibrational properties of low-energy modes of a molecular and an atomic adsorbate: CO and O on Pt (111)," Journal Of Chemical Physics, Vol. 112, No. 4, 22 January 2000, pp. 1959-1965.	-

Examiner Signature		Date Considered	3/3/05
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Substitute for form 1449PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b> Application Number <b>10/052,004</b> Filing Date <b>1/17/2002</b> First Named Inventor <b>Anthony C. Zuppero</b> Art Unit <b>1753</b> Examiner Name <b>Alan D. Diamond</b> Attorney Docket Number <b>22122878-10</b>	
Sheet		of	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T‡
ADD		NOLAN, P. D. et al., "Molecularly chemisorbed intermediates to oxygen adsorption on Pt (111): A molecular beam and electron energy-loss spectroscopy study," Journal Of Chemical Physics, Vol. 111, No. 8, 22 August 1999.	-
ADD		NOLAN P. D. et al., "Direct verification of a high-translational-energy molecular precursor to oxygen dissociation on Pd(111)," Surface Science Vol. 419, pp. L107-L113, December 24, 1998.	-
ADD		OTTO, Andreas et al., "Role of atomic scale roughness in hot electron chemistry," Journal of Physical Chemistry B, Vol. 103, No. 14, April 8, 1999, pp. 2696-2701.	-
ADD		PLIHAI, M. et al., "Role of intra-adsorbate Coulomb correlations in energy transfer at metal surfaces," Physical Review B, Vol. 58, No. 4, July 15, 1998, pp. 2191-2206.	-
ADD		SUNG, Yung-Eun et al., "Enhancement of electrochemical hot electron injection into electrolyte solutions at oxide-covered tantalum electrodes by thin platinum films," Journal of Physical Chemistry B., Vol. 102, No. 49, December 3 1998, pp. 9806-11.	-
ADD		ZHDANOV, V. P. et al., "Substrate-mediated photoinduced chemical reactions on ultrathin metal films," Surface Science, Vol. 432 (#3), pp. L599-L603, July 20, 1999.	-
ADD		NIENHAUS, H., "Electron-hole pair creation by reactions at metal surfaces," American Physical Society, Centennial Meeting Program, March 20-26, 1999, Atlanta, GA, Session SC33 - Metal Surfaces: Adsorbates. <a href="http://www.aps.org/meet/CENT99/BAPS/">http://www.aps.org/meet/CENT99/BAPS/</a>	-
ADD		NIENHAUS, H et al., "Electron-Hole Pair Creation at Ag and Cu Surfaces by Adsorption of Atomic Hydrogen and Deuterium," Physical Review Letters, Vol. 82, Issue 2, January 11, 1999, pp. 446-449.	-

Examiner Signature 	Date Considered <b>3/3/05</b>
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† Unique citation designation number. ‡ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/D&amp;A (08-03)

Approved for use through 07/31/2006. OMB 0551-0021

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**Complete if Known**

Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppers
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

#### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

**Examiner  
Signature**

also

Date Considered

3/3/05

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PTO/SB/08A (08-03)

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Substitute for form 1448/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

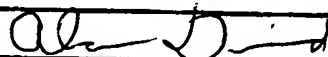
Sheet \_\_\_\_\_ of \_\_\_\_\_

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number-Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ADD		US-6,537,829	03-2003	Zarling et al.	—
ADD		US-6,444,476	09-2002	Morgan, Christopher Grant	—
ADD		US-6,399,397	06-2002	Zarling et al.	—
ADD		US-6,312,914	11-2001	Kardos et al.	—
ADD		US-6,251,687	06-2001	Buechler et al.	—
ADD		US-6,238,931	05-2001	Buechler et al.	—
ADD		US-6,159,686	12-2000	Kardos et al.	—
ADD		US-5,891,856	04-1999	Zarling et al.	—
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T*

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

Sheet 1 of 1

U. S. PATENT DOCUMENTS					
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		Number+Kind Code <sup>2</sup> (if known)			
AD		US-2003/0207331	11-2003	Wilson et al.	—
AD		US-2003/0186307	09-2003	Zuppero et al.	—
AD		US-2003/0100119	05-2003	Weinberg et al.	—
AD		US-2003/0030067	02-2003	Chen, Wei	—
AD		US-2003/0019517	01-2003	McFarland, Erick W.	—
AD		US-2002/0121088	09-2002	Zuppero et al.	—
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AD		US-2002/0017827	02-2002	Zuppero et al.	—
AD		US-6,700,058	03-2004	Zuppero et al.	—
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AD		US-5,763,189	06-1998	Buechler et al.	—
AD		US-5,736,410	04-1998	Zarling et al.	—
AD		US-5,698,397	12-1997	Zarling et al.	—
AD		US-5,674,698	10-1997	Zarling et al.	—
AD		US-5,632,870	05-1997	Kucherov, Yan R.	—
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AD		WO 01/28677A1	04-2001	Zuppero et al.	—
AD		JP-02157012A	06-1990	—	—

Examiner Signature	Alan D. Diamond	Date Considered	3/3/05
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Sheet 1 of 1

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AD		US-4,012,301	03-1977	Rich et al.	
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AD		wo 01/29928 A1	4-2001	NeoKismet L.L.C.		

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First Named Inventor	Anthony C. Zuppers
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
ADD		ACHERMANN, M. et al., "Carrier dynamics around nano-scale Schottky contacts: a femtosecond near-field study", Applied Surface Science 7659 (2002) 1-4.
ADD		AESCHLIMANN, M. et al., "Competing nonradiative channels for hot electron induced surface photochemistry", Chemical Physics, April 15, 1996, pp. 127-141, Vol: 205, Issue: 1-2.
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ADD		CHOI, C.K. et al., "Ultrafast carrier dynamics in a highly excited GaN epilayer", Physical Review B, Vol. 63, 115315, 15 March 2001, 6 pages.

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
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ADD		DEBERNARDI, A. et al., "Anharmonic Phonon Lifetimes in Semiconductors from Density-Functional Perturbation Theory", Physical Review Letters, VOL. 75, NUMBER 9, 28 AUGUST 1995, pp 1819 - 1822.	-
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First Named Inventor	Anthony C. Zuppero
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Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

Sheet 1 of 1**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

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ADD		GAILLARD, F. et al., "Hot electron generation in aqueous solution at oxide-covered tantalum electrodes. Reduction of methylpyridinium and electrogenerated chemiluminescence of Ru(bpy)32+", <i>Journal of Physical Chemistry B</i> , Vol. 103, No. 4, January 28, 1999, pages 667-74.	
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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1**Complete if Known**

Application Number	10/052,004
Filing Date	1/17/2002
First Named Inventor	Anthony C. Zuppero
Art Unit	1753
Examiner Name	Alan D. Diamond
Attorney Docket Number	22122878-10

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
ADD		PLIHAL, M. et al., "Role of intra-adsorbate Coulomb correlations in energy transfer at metal surfaces", Physical Review B, July 15, 1998, Vol. 58, Issue 4, pages 2191-2206.	-
ADD		PONTIUS, N. et al., "Size-dependent hot-electron dynamics in small Pd-clusters", Journal of Chemical Physics, December 8, 2001, Vol. 115, Issue 22, pages 10479-10483.	-
ADD		PRYBYLA, J. A. et al., "Femtosecond time-resolved surface reaction: Desorption of CO from Cu(111) in < 325 fscc", Physical Review Letters, January 27, 1992, Vol. 68, Issue 4, pp. 503-506.	-
ADD		RINNEMO, M., "Catalytic Ignition and Kinetic Phase Transitions", <a href="http://www2.lib.chalmers.se/cth/diss/doc/9596/RinnemoMats.html">http://www2.lib.chalmers.se/cth/diss/doc/9596/RinnemoMats.html</a> (date unknown).	-
ADD		SAALFRANK, P. et al., "Quantum dynamics of bond breaking in a dissipative environment: Indirect and direct photodesorption of neutrals from metals", J. Chem. Phys. 105 (6), 8 August 1996, pages 2441 - 2454.	-
ADD		SUNG, Y.-E., et al., "Enhancement of electrochemical hot electron injection into electrolyte solutions at oxide-covered tantalum electrodes by thin platinum films", Journal of Physical Chemistry B., Vol. 102, No. 49, December 3, 1998, pages 9806-11.	-
ADD		WHITE, J. M., "Using photons and electrons to drive surface chemical reactions", Journal of Molecular Catalysis A: Chemical 131, 1998, pages 71-90.	-
ADD		ZHDANOV, V.P. et al., "Substrate-mediated photoinduced chemical reactions on ultrathin metal films", Surface Science, Vol. 432 (#3), pages L599-L603, Jul 20, 1999.	-
ADD		ZHU, X.-Y., "Surface photochemistry: from hot reactions to hot materials", Surface Science, Vol. 390, (1997), pages 224-236.	-

Examiner  
Signature*Alan D. Diamond*

Date

Considered

3/3/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

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